# Complexity-Theoretic Cryptography

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# Outline

# Introduction

• The Informal Definition of One-Way Function.

### Complexity Theory - Basic Definitions

- Time Complexity
- An Intermezzo: One-Way Function Definition I
- Probabilistic Time Complexity

# One-Way Function

- Definition
- Candidates for One-Way Functions
- Collection of One-Way Functions
- Collection of Trapdoor Functions

### Hard-Core Predicate

- Motivation Bit-Security of EXP
- Definition
- A generic Hard-Core Predicate

# Epilog







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### Definition

A function *f* is called one-way, if *f* is easy to compute but hard to invert.

#### • Find proper definitions of easy and hard.

- Use computational complexity theory:
  - Classify problems according to their computational difficulty.
  - Classify problems according to needed resources (like time, storage space,...).
  - Our focus: time complexity.
  - Computational models: Turing machine, boolean circuits,...
- Basic definitions of complexity theory.

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#### Complexity Theory - Basic Definitions Algorithm; Running Time.



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#### Complexity Theory - Basic Definitions Algorithm; Running Time.



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#### Complexity Theory - Basic Definitions Algorithm; Running Time.





#### Complexity Theory - Basic Definitions Polynomial Time Algorithm



Otherwise: Exponential time algorithm

growing of poly., sub-exp., exp. functions				
$f(\mathbf{x})$	n <sup>2</sup>	n <sup>3</sup>	$\exp(\sqrt{n \ln n})$	2 <sup>n</sup>
x				
10	10 <sup>2</sup>	10 <sup>3</sup>	1.2 · 10 <sup>2</sup>	10 <sup>3</sup>
50	$2.5 \cdot 10^{3}$	1.2 · 10 <sup>5</sup>	10 <sup>6</sup>	10 <sup>15</sup>
100	10 <sup>4</sup>	10 <sup>6</sup>	2 · 10 <sup>9</sup>	10 <sup>30</sup>

### Notes

- polynomial time algorithm  $\Leftrightarrow$  efficient
- exponential time algorithm ⇔ inefficient

#### decision problem L





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#### Complexity Theory - Basic Definitions Complexity Class.

#### Fact

•  $\mathcal{P} \subseteq \mathcal{NP}$ 

# Examples

• PRIMES $\in \mathcal{P}$ 

• 3-Coloring-Problem: It is widely assumed that  $3COL := \{G : G \text{ is 3-colorable finite Graph}\} \notin P$ 

But  $\forall G \in 3COL$  exists a **PT C** that makes G 3-colored  $\Rightarrow 3COL \in \mathcal{NP}$ .

A function  $f: \{0,1\}^* \to \{0,1\}^*$  is called one-way if the following two conditions hold

- f is easy to compute
- f is hard to invert.

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- $\nexists$ **PT A': A'**(*f*(*x*)) = *x*' with *f*(*x*') = *f*(*x*)  $\forall x \in \{0, 1\}^n$

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#### Example (FACTORING)

Let  $f_{mult}(p, q) := pq$ , p, q primes. Assumption: FACTORING  $\notin \mathcal{P} \Rightarrow f_{mult}$  is one-way (according to the above definition)

# Observation of f<sub>mult</sub>

- for  $p,q \in \mathsf{PRIMES}: |p| \approx |q|$  huge, inverting  $f_{\textit{mult}}(p,q)$  is indeed hard
- But for half of the integers, finding an inverse of *n* := *f<sub>mult</sub>*(*p*, *q*) is very easy:

$$f_{mult}(n/2,2) \in f_{mult}^{-1}(n)$$

- $\Rightarrow$  Definition has to be improved.
  - Substitute: worst-case complexity  $\Rightarrow$  average-case complexity
  - success probability of an inverting algorithm should be negligible
- $\Rightarrow$  randomized algorithms
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#### Complexity Theory - Basic Definitions Randomized Algorithm



probabistic polynomial time, if worst case running time  $(n) \leq poly(n) \forall n$ 

#### Complexity Theory - Basic Definitions Complexity Class BPP



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#### Complexity Theory - Basic Definitions Complexity Class BPP



#### Notes

BPP remains same with

 $\mathsf{P}(\mathsf{A}(x) = \chi_L(x)) \ge \frac{1}{2} + \frac{1}{p(|x|)}, p$  polynomial instead.

•  $\mathcal{BPP} \Leftrightarrow$  'efficiently' computable.



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• Adversary is not unable to invert *f*, but has low probability to do so.

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## Notes

- Adversary is not unable to invert f, but has low probability to do so.
- Definition works with asymptotic complexity: A sufficiently large *security* parameter n makes inversion infeasible.
- If f is 1 1 then  $f^{-1}(f(x)) = x$ .

A function  $f : \{0,1\}^* \rightarrow \{0,1\}^*$  is called length preserving if  $\forall x \in \{0,1\}^* : |f(x)| = |x|$ 

A permutation is a length-preserving function *f* which is 1-1.

## Lemma (Length-preserving)

If there exists a one-way function, then we can construct a length-preserving one-way function f:

$$orall x \in \{0,1\}^*: |f(x)| = |x|$$

Proof by reducibility arguments.

#### FACTORING-problem

FACTORINGInstance:positive integer nQuestion:Find the prime factorization  $n = \prod_i p_i^{e_i}$ 

## Algorithms

- NUMBER FIELD SIEVE (1990) sub-exponential expected running time exp(1.9(log n)<sup>1/3</sup>(log log n)<sup>2/3))</sup>
- Special-purpose algorithms, like POLLARD'S p 1

# Candidates Based on Factoring.

A One-Way Function by Rivest, Shamir, Adleman

# **RSA** function

RSA <sub>n,e</sub>	where $n = pq$ , $ p  =  q $ primes, $gcd(e, \varphi(n)) = 1$	
input:	x positive integer	
output:	$RSA_{n,e}(x) := x^e \mod n$	

RSA<sub>n,e</sub> assumed to be one-way

## Fact (FACTORING vs. INVERTING-RSA)

If n can be factored by a **PPT**  $\Rightarrow$  RSA<sub>n,e</sub> can be inverted by a **PPT** INVERTING-RSA $\leq_P$ FACTORING

## Open Problem - FACTORING vs. INVERTING-RSA

Are FACTORING and INVERTING-RSA computationally equivalent?

The SQUARE-Function by Rabin

## Rabin's SQUARE function

SQUARE <sub>n</sub>	where $n = pq$ , $p$ , $q$ primes and $ p  =  q $
input:	$x \in \mathbb{Z}_n^*$
output:	$SQUARE_n(x) := x^2 \mod n$

SQUARE<sub>n</sub> is not 1-1

• But SQUARE<sub>n</sub> restricted to  $Q_n$  is a permutation, if  $n \in \{pq : p, q \text{ distinct odd primes}, |p| = |q|, p \equiv q \equiv 3 \mod 4\}$  $Q_n := \{x : x \in \mathbb{Z}_p^*, \exists y \in \mathbb{Z} : y^2 \equiv x \mod n\}$  quadratic-residues

## Fact (FACTORING vs. INVERTING-SQUARE)

FACTORING(*n*) and INVERTING-SQUARE<sub>n</sub> are computationally equivalent!

# One-Way Function - In Search of Examples

DLP The Discrete Logarithm Problem

## DLP - discrete logarithm problem

DLP Instance: a finite cyclic Group *G* of order *n* a generator  $\alpha$  of *G* an element  $\beta \in G$ Question: Find the integer  $x, 0 \le x \le n-1$ :  $\alpha^x = \beta$ 

• Given the prime factorization  $n = \prod_i p_i^{e_i}$  the DLP in *G* can be reduced to **DLP**'s in the groups  $\mathbb{Z}_{p_i}^*$ 

## Algorithms

Best randomized algorithms in sub-exponential running time.

## **EXP** function

 $\begin{array}{ll} \mathsf{EXP}_{p,\alpha} & \text{where } p \text{ prime and } \alpha \text{ generator of } \mathbb{Z}_p^* \\ \text{input:} & x \in \mathbb{Z}_p^* \\ \text{output:} & \mathsf{EXP}_{p,\alpha}(x) := \alpha^x \mod p \end{array}$ 

#### • EXP is one-way, assuming DLP is hard

## Assumptions for concrete candidates:

FACTORING efficiently computable  $\Rightarrow$ RSA not one-way FACTORING efficiently computable  $\Leftrightarrow$ SQUARING not one-way DLP efficiently computable  $\Leftrightarrow$ EXP not one-way

## Traditional assumption. hard to break in worst case

*f* computable by **PT**  $\Rightarrow$  inverse under *f* computable by *non-det*. **PT**:  $\hookrightarrow \mathcal{P} = \mathcal{NP} \Rightarrow$  One-Way Function not exist.

# Intractability assumption. hard to break in average

We assume the adversary uses a **PPT**  $\hookrightarrow \mathcal{NP} \subseteq \mathcal{BPP} \Rightarrow \text{One-Way Function not exist.} (\mathcal{NP} \nsubseteq \mathcal{BPP} \Rightarrow \mathcal{P} \neq \mathcal{NP})$ 

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# One-Way Function

Existence of One-Way Function cannot be proved yet.



#### Problem

- Tradtional assumption and Intractability assumption are only necessary but not sufficient conditions.
- Existence of One-Way Functions not provable yet.
- Implementation based on reasonable 'intractability assumptions', like FACTORING, DLP.

Image: A matrix



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$$f: \{0,1\}^* \to \{0,1\}^*$$

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infinite domain

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- Suitable for abstract discussion
- ..but not for natural candidates:

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$$EXP_{p,\alpha}: \{1, ..., p-2\} \to \{0, 1\}^*$$
finite domain

# A larger View: Collection

$$f_i: D_i \to \{0, 1\}^*$$
$$f_i : \frac{D_i}{D_i} \to \{0, 1\}^*$$
finite domain

$$F := \{ f_i : \frac{D_i}{D_i} \to \{0, 1\}^* \}_{i \in I}$$
finite domain

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infinite set



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infinite set

• The f<sub>i</sub> sharing a common Index Sampler S<sub>I</sub>

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## infinite set

- The f<sub>i</sub> sharing a common Index Sampler S<sub>I</sub>
- The f<sub>i</sub> sharing a common Domain Sampler S<sub>D</sub>

Security parameter  $n \in \mathbb{N}$ 

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Security parameter  $n \in \mathbb{N}$  **PPT**  $S_I$  Index sampler  $i \in I \cap \{0, 1\}^n$ 







#### Definition

Let *I* be a set of indices and  $D_i \subset \{0, 1\}^*$  finite  $\forall i \in I$ . A collection of one-way functions is a set

 $F = \{f_i : D_i \to \{0,1\}^*\}$ 

satisfying the following two conditions

1 There exists tree **PPT**  $S_I$ ,  $S_D$ , A, such that

**S**<sub>i</sub> on input 1<sup>*n*</sup> outputs an  $i \in \{0, 1\}^n \cap I$  **S**<sub>D</sub> on input  $i \in I$  outputs an  $x \in D_i$ **A** on input  $i \in I$  and  $x \in D_i$  it holds that  $A(i, x) = f_i(x)$ 

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2 The probability of finding an inverse for every **PPT** given *i* and an element in range is negligible, if we consider the distribution induced by **S**<sub>I</sub>, **S**<sub>D</sub>. For every **PPT A**', every polynomial  $p(\cdot)$  and sufficiently large *n*:  $P(\mathbf{A}'(f_{l_n}(X_n), l_n) \in f_{l_n}^{-1}(f_{l_n}(X_n))) < \frac{1}{p(n)}$ 

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 $\mathsf{P}\left(\mathsf{A}'(f_{l_n}(X_n), I_n) \in f_{l_n}^{-1}(f_{l_n}(X_n))\right) < \frac{1}{p(n)}$ 

 $I_n, X_n$  random variable describing output distribution of  $S_I, S_D$ 

# Collection Of One-Way Functions $EXP := \{EXP_{p,\alpha} : \mathbb{Z}_{p-1} \rightarrow \{0,1\}^*\}$



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# **Collection Of Trapdoor Functions**



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# **Collection Of Trapdoor Functions**





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#### Hard-Core Predicate - Motivation **Bit-Security of EXP**



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#### How secure is *EXP*?



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- A one-way function doesn't hide partial information
- But at least one Bit of information is hard to guess









#### Instance

- a function  $f : \{0, 1\}^* \to \{0, 1\}^*$
- a predicate  $b: \{0,1\}^* \rightarrow \{0,1\}$

### Definition

b is a hard-core predicate of f, iff

•  $\exists \mathbf{PPT} \mathbf{A}$ , such that  $\forall x : \mathbf{A}(x) = b(x)$ 

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### Definition

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- $\exists$ **PPT A**, such that  $\forall x : \mathbf{A}(x) = b(x)$
- Every efficient algorithm given f(x) can guess b(x)only with success probability negligible better than  $\frac{1}{2}$

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- $\exists$ **PPT A**, such that  $\forall x : \mathbf{A}(x) = b(x)$
- $\forall$ **PPT G**,  $\forall$ *p* polynomial and sufficiently large *n*:

$$\mathbf{P}(G(f(U_n)) = b(U_n)) < \frac{1}{2} + \frac{1}{p(n)}$$

A Hard-Core Predicate for 'any' One-Way Function.

#### Instance

- $f: \{0,1\}^* \rightarrow \{0,1\}^*$ length preserving
- g(x, r) := (f(x), r), where |x| = |r|
- $b(x,r) := \langle x, r \rangle_{mod2} := \sum_{i} (x_i r_i \mod 2)$

#### Theorem

Let f be a length-preserving one-way function, and let g, b defined like above. Then b is a hard-core predicate of the function g.

#### Notes

It means: it is infeasible to guess the exclusive-or of a random subset of the bits of x, when given f(x) and the subset itself, denoted by r.



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## Proof sketch.

We use a 'reducibility argument' and proof by contradiction:

1 Suppose: *b* is not hard-core predicate of *g* Then there exists an *efficient* algorithm **G**, that can guess *b* with non-negligible probability better  $\frac{1}{2}$ :

 $\Rightarrow \exists PPT G, \exists p \text{ polynomial}:$ 

 $\varepsilon(n) := \mathbf{P}\left(\mathbf{G}(f(X_n, R_n) = b(X_n, R_n)) - \frac{1}{2} > \frac{1}{p(n)}\right)$ 

- 2 Construct an *efficient* algorithm A (using G), which inverts f on input (f(x), r) with non-negligible probability
- 3 Conclude:

 $\exists \mathbf{G} \Rightarrow \exists \mathbf{A} \Rightarrow f \text{ not one-way}$ 

 $\Rightarrow$ contradiction to *f* one-way.

# Proof - Inverting Algorithm A

Idea I - a mental experiment

### Important Observation

 $b(\mathbf{x}, \alpha) \oplus b(\mathbf{x}, \beta) = b(\mathbf{x}, \alpha \oplus \beta)$  $\mathbf{x}_i = b(\mathbf{x}, \alpha) \oplus b(\mathbf{x}, \alpha \oplus \mathbf{e}_i)$ 

#### Mental Experiment

Suppose: Guessing by **G** works very good for a subset  $S_n \subseteq \{0, 1\}^n$ :

- **P**(**G** correct guess) = **P**(**G**(f(x), r) = b(x, r)) >  $\frac{3}{4} + \frac{1}{2p(n)}$
- for all inputs f(x) with  $x \in S_n$
- for all sufficiently large  $n \in \mathbb{N}$

Algorithm **A** (guessing the  $i^{th}$  bit of the inverse):

- Randomly select  $r \in \{0, 1\}^n$
- Sompute  $z_i := \mathbf{G}(f(x), r) \oplus \mathbf{G}(f(x), r \oplus e_i)$

Success probability:  $\mathbf{P}(\mathbf{A}(f(x)) \in f^{-1}(f(x))) > \frac{1}{2} + \frac{3}{4\rho(n)}$ 

 $\hookrightarrow$  Repetition and rule by majority $\Rightarrow$  efficiently computes  $x_i$ 

Notice:  $b(\mathbf{x}, \alpha) \oplus b(\mathbf{x}, \alpha \oplus \mathbf{e}_i) = \mathbf{x}_i \qquad \forall \mathbf{x}, \alpha, i$ 

## Idea to construct **A** inverting f(x) for all $x \in S_n$

- Select a special subset S<sub>n</sub>, where **G** works sufficiently successful.
- Use **G** to guess  $b(x, r \oplus e_i)$
- Make own guess ρ for b(x, r)
- Both guess correct:  $x_i = \rho \oplus \mathbf{G}(f(\mathbf{x}), \mathbf{r} \oplus \mathbf{e}_i)$

#### Claim I ( $S_n$ , where **G** guesses sufficiently good)

If *b* not hard-core, *n* sufficiently large, then there exists a subset  $S_n \subseteq \{0, 1\}^n$ , such that

- 'Large enough':  $|S_n| \ge \frac{\varepsilon(n)}{2} 2^n$
- 'Succesful enough':  $\forall x \in S_n : \pi(x) := \mathbf{P}(\mathbf{G}(x, R_n) = b(x, R_n)) \ge \frac{1}{2} + \frac{\varepsilon(n)}{2}$

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#### Proof - Inverting Algorithm A Idea II - p. J our own guess

### Our guess

- Randomly select k strings  $s_1, ..., s_k \in \{0, 1\}^n$  and k predicates  $\sigma_1, ..., \sigma_k \in \{0, 1\}$  (by Laplace-Experiment)
- for every (non empty) index-subset  $J \subseteq \{1, ..., k\}$ :

$$r_{J} := \bigoplus_{j \in J} s_{j}$$
  
$$\Rightarrow b(x, r_{J}) = b(x, \bigoplus_{j \in J} s_{j}) = \bigoplus_{j \in J} b(x, s_{j})$$
  
$$\Rightarrow \rho_{J} := \bigoplus_{j \in J} \sigma_{j} \text{ our guess of } b(x, r_{J})$$

• Probability that  $\rho_J = b(x, r_J)$  for all subsets  $J \in \{1, ..., k\}$  is  $2^{-k}$ 

# Algorithm (guesses *i*<sup>th</sup> bit)

Let **A** be the following **PPT** algorithm:

- Set  $k := \left\lceil \log_2(2n \cdot p(n)^2 + 1 \right\rceil$
- ② Uniformly and Independent select  $s_1, ..., s_k \in \{0, 1\}^n$ ,  $\sigma_1, ..., \sigma_k \in \{0, 1\}$
- $\forall J \subseteq \{1, ..., k\}, J$  non-empty compute:

• 
$$r_J \leftarrow \bigoplus_{j \in J} s_j$$

$$\rho_J \leftarrow \bigoplus_{j \in J} \sigma_j$$

• 
$$z_J \leftarrow \rho_J \oplus \mathbf{G}(f(x), r_J \oplus e_i)$$

Output z the majority value of the z<sub>J</sub>

#### Proof - Inverting Algorithm A Observing Events.

 $r_J \leftarrow \bigoplus_{i \in J} \mathbf{s}_i$  $\rho_J \leftarrow \bigoplus_{i \in J} \sigma_i$  $z_{i} \leftarrow \rho_{i} \oplus \mathbf{G}(f(x), r_{i} \oplus e_{i})$  compare:  $x_{i} = b(x, r_{i}) \oplus b(x, r_{i} \oplus e_{i})$ 

# $s_i \in \{0,1\}^n$ randomly chosen $\sigma_i \in \{0, 1\}$ randomly chosen

#### Events of interest

- Event  $\mathcal{E}$ : **G** guessing correct for majority of subsets  $J \subseteq \{1, ..., k\}$ :  $\mathcal{E}: |\{J: \mathbf{G}(f(x), r_J \oplus \mathbf{e}_i) = b(x, r_J \oplus \mathbf{e}_i)\}| > \frac{1}{2}(2^k - 1)$
- Event  $\mathcal{F}$ : our guess correct for all subsets:  $\mathcal{F}: \rho_J = b(x, r_J) \qquad \forall J \subseteq \{1, ..., k\}$

## Probabilities

- Event E:
  - **P** ( $\mathcal{E}|\mathbf{x} \in \mathbf{S}_n$ ) >  $\frac{1}{2}$  (this we have to prove!)
- Event F.

 $\mathbf{P}(\mathcal{F}|\mathbf{x} \in \mathbf{S}_n) = \mathbf{P}(\forall J : \sigma_J = b(\mathbf{x}, \mathbf{s}_J) | \mathbf{x} \in \mathbf{S}_n) = 2^{-k}$  (Bernoulli)

#### Proof - Inverting Algorithm A Success Probability

$$\begin{aligned} & z_J \leftarrow \rho_J \oplus \mathbf{G}(f(\mathbf{x}), r_J \oplus e_i) \\ & \mathbf{P}\left(\mathcal{E}|\mathbf{x} \in S_n\right) > \frac{1}{2} \\ & \mathbf{P}\left(\mathcal{F}|\mathbf{x} \in S_n\right) = 2^{-k} \\ & |S_n| > \frac{\epsilon}{2} \cdot 2^n \ge \frac{1}{2p(n)}2^n \end{aligned}$$

 $\begin{aligned} \mathcal{E}: \ \mathbf{G} \ \text{correct for the majority of all } J's \\ \mathcal{F}: \ \rho_J \ \text{correct for all } J's \\ k := \left\lceil \log_2(2n \cdot p(n)^2 + 1 \right\rceil \end{aligned}$ 

#### Success Probability of Algorithm

$$\begin{split} & \mathbf{P}\left(\mathbf{A}(f(x)) \text{ outputs } i^{th} \text{ bit of an inverse of } f(x)\right) \\ & = \mathbf{P}\left(\text{For majority of all } J'\text{s: } z_J = x_i\right) = \mathbf{P}\left(\mathcal{E} \land \mathcal{F} | x \in S_n\right) \\ & = \mathbf{P}\left(\mathcal{E}\right) \cdot \mathbf{P}\left(\mathcal{F}\right) \cdot \mathbf{P}\left(x \in S_n\right) \text{ (Independence to be proved!)} \\ & > \frac{1}{2} \cdot 2^{-k} \cdot \frac{|S_n|}{2^n} = \frac{1}{8np(n)^3 + p(n)} = \frac{1}{poly(n)} \text{ not negligible!!!} \end{split}$$

ightarrow By repeating for all bits: we can efficiently compute *x*. ightarrow Contradiction to '*f* is one-way' ⇒ *b* is hard-core Predicate

# Claim I: There existst $S_n$ , where **G** guesses sufficiently good

If *b* not hard-core, *n* sufficiently large, then there exists a subset  $S_n \subseteq \{0, 1\}^n$ , such that

• 'Large enough': 
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• 'Succesful enough':  $\forall x \in S_n : \pi(x) := \mathbf{P}(\mathbf{G}(x, R_n) = b(x, R_n)) \ge \frac{1}{2} + \frac{\varepsilon(n)}{2}$ 

# Claim II: $\mathbf{P}(\mathcal{E}|x \in S_n) > \frac{1}{2}$

For every  $x \in S_n$ :  $\mathbf{P}(|\{J : \mathbf{G}(f(x), r_J \oplus \mathbf{e}_i) = b(x, r_J \oplus \mathbf{e}_i)\}| > \frac{1}{2}(2^k - 1)) > 1 - \frac{1}{2p(n)}$ 

# one-way functions are important primitives.

## Formalizing and abstracting

The concept of one-way functions abstracts the central idea of many common cryptosystems:

- RSA
- RABIN-SQUARE
- ELGAMAL

#### As a basis

The introduced concept is a basis for more applicable theories:

- public key cryptosystems
- pseudorandom sequences
- hash functions

#### Basic definitions of computational complexity theory

- Formalized the definition of one-way function
- Discussed necessary conditions, like 'intractability assumption'
- Introduced the concept of one-way collections and trapdoor-collection
- Defined the hard-core predicate
- Proved the existence of a generic hard-core predicate

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